

TRANSMITTAL LETTER

Transmitted herewith for filing is the patent application of:

Inventor(s) : SRIDARAN NATESAN and MICHAEL Z. GILMAN

Title : CHIMERIC TRANSCRIPTION FACTORS

[X] 70 Sheets of Specification, 8 Claims and Abstract of the Disclosure

[X] 8 sheets of drawings (Figures 1-8)

[] Assignment of the invention

[X] Verified Statement to Establish Small Entity status under 37 CFR 1.9 and 37 CFR 1.27

[X] Declaration and Power of Attorney (☐ fully executed OR ☒ unexecuted)

[X] Other: Information Disclosure Statement, Form 1449 and copies of References

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$760.00
TOTAL CLAIMS	67 - 20 =	47	x 18 =	\$ 846 .00
INDEP CLAIMS	7 - 3 =	4	x 78 =	\$ 312 .00
[X] MULTIPLE DEPENDENT CLAIM PRESENTED			\$260.00	\$ 260 .00
TOTAL (if Large Entity)				\$ 2178 .00
TOTAL (if Small Entity)				\$ 1089 .00

[X] Please charge **Deposit Account No. 01-2315** in the amount of \$ 1089.00 (Small Entity Fee).

[] A check in the amount of \$ _____ to cover the filing fee is enclosed.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 01-2315. Two duplicate copies of this letter are enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Date 9/28/99

Sharon F. Hausdorff

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Express Mail Label # **E1718326523US** Date of mailing: **9/28/99**

I hereby certify that this document is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to:

Box Patent Application, Assistant Commissioner of Patents, Washington, DC 20231.

Signed: *Charles Stewart*

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket # 346E US

Applicant or Patentee: Natesan, S.
Serial or Patent No.: _____
Filed or Issued: September 28, 1999
Title: Chimeric Transcription Factors

I hereby declare that I am

☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN ARIAD Gene Therapeutics, Inc
ADDRESS OF SMALL BUSINESS CONCERN 26 Landsdowne Street, Cambridge, MA 02139-4234

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for the purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average of the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below

☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 128(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief and believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING David L. Berstein
TITLE OF PERSON IF OTHER THAN OWNER Vice President - Chief Patent Counsel
ADDRESS OF PERSON SIGNING 26 Landsdowne Street, Cambridge, MA 02139-4234
SIGNATURE David L. Berstein DATE 9/28/99